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Date: February 20, 2002

Docket No.: 0829-0132P

10978 U.S. PTO  
10/077990  
02/20/02

**BOX PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Yuji KOHARA

For: ENERGY CONVERTING POLAR PLATE DEVICE AND METHOD FOR THE  
PREPARATION THEREOF

Enclosed are:

- ☒ A specification consisting of nine (9) pages
- ☒ One (1) sheet(s) formal drawings
- ☐ An assignment of the invention
- ☒ Applicant claims small entity status under 37 C.F.R. § 1.27
- ☒ Applicant does not claim priority
- ☐ Applicant claims the right of priority based on Application  
No(s). filed in on .
  - ☐ Certified copy(ies) is(are) attached hereto.
  - ☐ Certified copy(ies) will follow.

☐ Amend the specification by inserting before the first line thereof the following:

a. ☐ --This nonprovisional application claims priority under 35 U.S.C. § 119(a) on Patent Application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_, which is herein incorporated by reference.--

b. ☐ --This nonprovisional application claims priority under 35 U.S.C. § 119(e) on U.S. Provisional Application No. \_\_\_\_\_ filed on \_\_\_\_\_, which is herein incorporated by reference.--

☒ Executed Declaration (☐ Original ☒ Photocopy)

☐ Application Data Sheet in accordance with 37 C.F.R. § 1.76

☐ Preliminary Amendment

☐ Information Disclosure Statement, PTO-1449 and reference(s)

☐ Other:

☐ Applicant requests early publication - \$300.00 publication fee

☐ Non-publication Request and Certification under 35 U.S.C. § 122(b) (2) (B) (i)

The filing fee has been calculated as shown below:

			LARGE ENTITY	SMALL ENTITY
BASIC FEE			\$740.00	\$370.00
	NUMBER FILED	NUMBER EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	7- 20 =	0	X 18 = \$0.00	x 9 = \$0.00
INDEPENDENT CLAIMS	2- 3 =	0	x 84 = \$0.00	x 42 = \$0.00
MULTIPLE DEPENDENT <input type="checkbox"/> CLAIMS PRESENTED			+ \$280.00	+ \$140.00
TOTAL			\$0.00	\$370.00

- ☒ A check in the amount of \$370.00 to cover the filing fee and recording fee (if applicable) is enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.
- ☒ Please send correspondence to:  
BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 2292  
P.O. Box 747  
Falls Church, VA 22040-0747  
Telephone: (703) 205-8000

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Joseph A. Kolasch, #22,433

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JAK/KSC/clb  
0829-0132P

Attachments

((Rev. 12/27/01))

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Yuji KOHARA Conf.: Unassigned  
Appl. No.: NEW Group: Unassigned  
Filed: February 20, 2002 Examiner: Unassigned  
For: ENERGY CONVERTING POLAR PLATE DEVICE AND  
METHOD FOR THE PREPARATION THEREOF

ASSERTION OF SMALL ENTITY STATUS

Assistant Commissioner for Patents  
Washington, DC 20231

February 20, 2002

Sir:

The above-identified application qualifies for small entity status. This written assertion of small entity status should satisfy the requirements of 37 C.F.R. § 1.27.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

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